

Circular No.: NSDL/POLICY/2021/0047

May 10, 2021

Subject: Standard Operating Procedure (SOP) for handling cyber security incidents

Attention to Participants is invited to SEBI Circular No. SEBI/HO/MIRSD/CIR/PB/2018/147 dated December 03, 2018 regarding Cyber Security & Cyber Resilience framework for Stock Brokers / Depository Participants as per which Participants are required to maintain Standard Operating Procedures (SOP) with respect to handling of Cyber Security Incidents.

In view of the above, Participants are requested to take note of the following:

- Participants shall have documented Cyber Security incident handling process document i.e. Standard Operating Procedure (SOP) in place.
- Participants shall examine the incidents and classify the incidents into High / Medium / Low as per their cyber security incident handling document.
- The cyber security incident handling document shall define Actions / Response Mechanisms for the incident based on severity.
- Participants shall report the incident to Indian Computer Emergency Response Team (CERT-In).
- Participants shall provide the reference details of the reported incident to the Depository and SEBI. Participants shall also provide details regarding whether CERT-In team is in touch with them for any assistance on reported incident. If the incident is not reported to CERT-In, Participants shall submit the reasons for the same to the Depository and SEBI.
- Participants shall communicate with CERT-In / MHA / Cybercrime police for further assistance on the reported incident.
- Participants shall submit details on whether the incident has been registered as a complaint with law enforcement agencies such as Police or cyber security cell. If yes, details need to be provided to Depository and SEBI. If not, reason for not registering complaint should also be provided to Depository and SEBI.
- The details of reported incidents and submission to various agencies by the member shall also be submitted to Division Chiefs (in charge of divisions at the time of submission) of DOS-MIRSD and CISO of SEBI.

Participants are requested to take note of the above and ensure compliance.

**For and on behalf of
National Securities Depository Limited**

**Chirag Shah
Senior Manager**

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report for the month of April 2021	May 31, 2021	Through e-PASS	1. Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015 2. Circular No. NSDL/POLICY/2021/0041 dated May, 04, 2021